Opening New Accounts:
Documentation and Compliance 2020

April 1, 2020 – Delta Hotel by Marriott, Fargo ND
April 2, 2020 – Courtyard by Marriott, Bismarck ND

About the Program

With constant new regulations, complex business account multi-level structures and the growing popularity of trust accounts, the necessity for ongoing new account training is paramount. Banks must carefully maintain diligence as well as update processes and procedures.

This full-day program is the most comprehensive seminar offered on the topic of deposit accounts. The presentation provides answers to many of the more complicated and difficult questions asked by customers and staff. The detailed manual, included in the registration and customized to state law, has become known as an invaluable resource for banks across the state. These workshops are highly interactive. Come prepared to get your questions answered!

Who Should Attend

Operations officers, compliance officers, new account representatives, CSRs, new accounts supervisors, branch managers, trainers, auditors and back office personnel who support the deposit account area. At least 85% of this information also impacts the lending area.

What to Bring: ***Signature Card and Resolutions***
Account Terms and Conditions

This Program Will Cover:

Business Accounts

- Proper Account Title; CIP Requirements
- Business Account Documentation Requirements
- Sole Proprietorships; General Partnerships; LPs; LLPs; LLCs; Corporations; Non-Profit Social Club and Associations; IOLTA; Escrow; Public or Governmental Units
- FinCEN – Beneficial Owner Due Diligence
- Documenting layered organizations

Consumer Accounts

- Joint and Single Party – WROS/WOROS
- Authorized Convenience Signer Designation
- Power of Attorney

Trust, Fiduciary, Minor and Court Ordered Accounts

- Opening accounts when the owner is not present
- Why are Living Trusts so popular?
- Understanding authority of parties to a Trust
- Six key pieces of information for Trusts
- Formal Revocable & Formal Irrevocable Trusts
- Payable on Death Accounts (POD/ITF)
- TIN Compliance for Trust Accounts
- Uniform Transfer to Minor's Account (UTMA)
- Estate Accounts
- Guardianship/Conservatorship Accounts
- SSA Payee and VA Fiduciary Accounts

Schedule

8:00-8:30 am	Registration & Continental Breakfast
8:30 am - Noon	**Program**
12:00-12:45 pm	NDBA Hosted Lunch
12:45-4:00 pm	**Program**

NDBA Registration Form

New Account Documentation & Compliance • April 1 & 2, 2020

Registration Information

Register early and save...

	Advance	After 3/11
NDBA Member:	$225	$245
Nonmember	$335	$355

Mail this form with payment to:
North Dakota Bankers Association
Attn: Registration
PO Box 1438
Bismarck ND 58502

or email to ndba@ndba.com
or register online at www.ndba.com

PRINT EMAIL

Questions? Call Dorothy Lick at NDBA at 701.223.5303.

Bank: ____________________

Address: ____________________

City: ____________ State: ________ Zip: ____________

Phone: ____________________

Registrants (first & last name)

Registrant 1. ____________________ ❒ *April 1-Fargo*
Reg. 1 Email: ____________________ ❒ *April 2-Bismarck*
Reg. 1 Branch location: ____________________

Registrant 2. ____________________ ❒ *April 1-Fargo*
Reg. 2 Email: ____________________ ❒ *April 2-Bismarck*
Reg. 2 Branch location: ____________________

Registrant 3. ____________________ ❒ *April 1-Fargo*
Reg. 3 Email: ____________________ ❒ *April 2-Bismarck*
Reg. 3 Branch Location: ____________________

Total Due: ________

❒ *Please send an invoice.*
❒ *Check is enclosed or will be mailed.*
❒ *I'd like to pay by credit card. Please contact me.*

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About the Speaker

Suzette (Suzie) Jones, CFP®
Managing Member
Training Resources Consulting, LLC

With over 40 years of banking experience, Suzie has a reputation as being extremely knowledgeable with high energy and a unique ability to take technical information and make it interesting and applicable.

After 22 years, Suzie recently retired as an executive vice president from a large regional bank where she provided overall leadership and risk management to the $11B Investments Division.

Suzie has a unique perspective and appreciation for how difficult and complicated opening new accounts can be. For decades Suzie opened new accounts, managed new account teams and provided hands-on input to the AML and BSA Committees within the bank. She partners nationally to provide new account training for banks and currently works with 13 State Bank Associations including NDBA. Suzie holds the Certified Financial Planner™ designation.

Seminar Locations

Delta Hotel by Marriott
1635 42nd Street SW
Fargo ND 58103
Phone: 701.277.9000
Room rates: $124 standard/ $144 two-room suite
Block held until March 16 under "North Dakota Bankers."

Courtyard by Marriott
3319 N 14th Street
Bismarck ND 58503
Phone: 701.223.6667
Room rate: $139
Block held until March 9 under "ND Bankers."

Schedule

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Seminar Confirmation

Please save a copy of this flyer as a confirmation for details of this event.

Tax Disclaimer

The cost for all meals and breaks at the seminar is $35. This information is provided for your tax records, in keeping with the IRS 50% deductible provision under Section 274(n) of the Internal Revenue Code.

Refund Policy

Refund less $20 will be made if requested one week prior to seminar date. No refunds after that date. Substitutions allowed any time.

NORTH DAKOTA BANKERS ASSOCIATION

Questions? Call NDBA at 701.223.5303.